

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior Application:

In re application of: John E. Minardi and
John L. Janning

Applicant: John E. Minardi and
John L. Janning

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Examiner: W. Choi/Group 2873

For: MULTI-STAGE OPTICAL SWITCHING DEVICE

Attorney Docket No.: 65944-0003 (Continuation Application of 65944-0002)

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

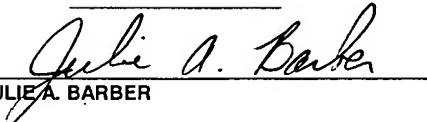
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JULIE A. BARBER

Date: November 17, 2003

PRELIMINARY AMENDMENT

Please amend the application as follows prior to examination on the merits.